

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



To: re the Application of

Shinji MAKIKAWA et al.

Attn: Box Missing Parts

Application No.: 09/865,571

Filed: May 29, 2001

Docket No.: 109653

For: MANUFACTURE OF OPTICAL WAVEGUIDE SUBSTRATE

RESPONSE TO NOTICE TO FILE MISSING PARTS WITH DECLARATION

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:


In response to the Notice to File Missing Parts of Application - Filing Date Granted (copy attached) mailed on July 25, 2001, submitted herewith is the executed Declaration of the inventors. Any specification attached to and referenced in the Declaration is a copy of the specification and any amendments thereto which were filed in the Office in order to obtain a filing date for the application.

Attached is our Check No. 121980 for ☒\$130.00 ☐\$65.00 (entitlement to small entity status is asserted) for the fee under 37 C.F.R. §1.16(e).

Entry of these documents should complete all of the filing formalities and fully satisfy all requirements of the Notice to File Missing Parts. Accordingly, examination and allowance of this application in due course are respectfully solicited.

The Director is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 15-0461. Two duplicate copies of this paper are attached.

Respectfully submitted,


William P. Berridge
Registration No. 30,024

Joel S. Armstrong
Registration No. 36,430

WPB:JSA/kaf

Date: August 22, 2001

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Alexandria, Virginia 22320
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**DEPOSIT ACCOUNT USE
AUTHORIZATION**

Please grant any extension
necessary for entry;

Charge any fee due to our
Deposit Account No. 15-0461



UNITED STATES PATENT AND TRADEMARK OFFICE

AUG 22 2001

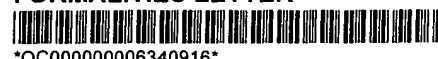
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/865,571	05/29/2001	Shinji Makikawa	109653

CONFIRMATION NO. 3685

 25944
 OLIFF & BERRIDGE, PLC
 277 S. WASHINGTON STREET, SUITE 500
 ALEXANDRIA, VA 22314

FORMALITIES LETTER



OC00000006340916

Date Mailed: 07/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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